

New Participant Application Checklist

Thank you for applying to become a Participant with NASDAQ OMX Europe. Your application package consists of the following documents:

- Application Form
- Participant Agreement
- Rulebook
- Trading Procedures
- Global Data Agreement
- UK SDRT Form
- Irish Stamp Duty Pack
- Direct Debit Instructions

Forms to be Completed and Returned to NASDAQ OMX Europe

The following forms will need to be completed and returned to NASDAQ OMX Europe:

- Application Form
- Participant Agreement
- UK SDRT Form (if applicable)
- Irish Stamp Duty Pack (if applicable)
- Global Data Agreement (if applicable)
- Direct Debit Instructions (if applicable)

To expedite processing, Participants can scan the completed documents and email them to participantsupport@nasdaqomx.com. Participants must also submit a hardcopy of all forms to:

NASDAQ OMX Europe
Attention: Market Operations
131 Finsbury Pavement
London
EC2A 1NT

For questions regarding the application process, please contact NASDAQ OMX Europe Market Operations at +44 20 7065 8160.